

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Hartwig SCHWIER et al DOCKET NO.: P05,0153  
SERIAL NO.: 10/532,870 CONFIRMATION NO.: 2241  
FILED: June 16, 2006 GROUP ART UNIT: 3621  
INVENTION: **METHOD, COMPUTER PROGRAM PRODUCT AND DEVICE  
FOR THE PROCESSING OF A DOCUMENT DATA STREAM  
FROM AN INPUT FORMAT TO AN OUTPUT FORMAT" (As  
Amended)**

**MAIL STOP PCT**


Commissioner for Patents,  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

**SUBMITTAL OF ENGLISH TRANSLATION OF INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

S I R:

Enclosed herewith is an English translation of the International Preliminary  
Examination Report to be entered in the file.

Respectfully submitted,

  
\_\_\_\_\_  
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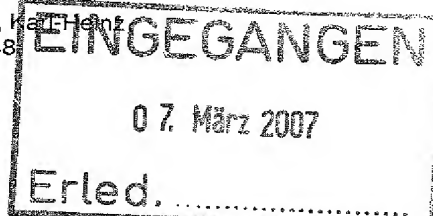
From the INTERNATIONAL BUREAU

**PCT**

NOTIFICATION OF TRANSMITTAL  
OF COPIES OF TRANSLATION  
OF THE INTERNATIONAL PRELIMINARY REPORT  
ON PATENTABILITY  
(CHAPTER I OR CHAPTER II  
OF THE PATENT COOPERATION TREATY)  
(PCT Rule 72.2)

To:

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Date of mailing (day/month/year) 01 March 2007 (01.03.2007)	
Applicant's or agent's file reference 2002-1023 P	IMPORTANT NOTIFICATION
International application No. PCT/EP2003/012106	International filing date (day/month/year) 30 October 2003 (30.10.2003)
Applicant OCÉ PRINTING SYSTEMS GMBH et al	

**1. Transmittal of the translation to the applicant.**

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

**2. Transmittal of the copy of the translation to the elected Offices.**

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation:

None

The following elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

EP, JP, US

**3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).**

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO  
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Translation

PATENT COOPERATION TREATY

PCT/EP2003/012106



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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 2002-1023 P	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/EP2003/012106	International filing date (day/month/year) 30 October 2003 (30.10.2003)	Priority date (day/month/year) 31 October 2002 (31.10.2002)
International Patent Classification (IPC) or national classification and IPC G06F 3/12		
Applicant OCÉ PRINTING SYSTEMS GMBH		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 5 sheets, including this cover sheet.

☒ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 1 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand 25 March 2004 (25.03.2004)	Date of completion of this report 03 May 2006 (03.05.2006)
Name and mailing address of the IPEA/EP	Authorized officer
Facsimile No.	Telephone No.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/EP2003/012106

## I. Basis of the report

## 1. With regard to the elements of the international application:\*

- ☐ the international application as originally filed
- ☒ the description:  
pages \_\_\_\_\_ 1-36 \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☒ the claims:  
pages \_\_\_\_\_ 4-27 \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, as amended (together with any statement under Article 19  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_ 1-3 \_\_\_\_\_, filed with the letter of \_\_\_\_\_ 30 June 2005 (30.06.2005)
- ☒ the drawings:  
pages \_\_\_\_\_ 1/15-15/15 \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the sequence listing part of the description:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.  
These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

## 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/fig \_\_\_\_\_

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

In this way, any data stream can be converted by means of the invention into a data stream which has the desired output format and with which high performance transmission can be achieved. In particular, the invention is not restricted to print data that use specific formatting principles and, in consequence, can be converted into the AFP format.

Conversion into an internal format, using formatted data as well as raw data, is not known from document D2 either.

Thus, the subject matter of claim 1 appears to be novel and inventive and said claim consequently satisfies the requirements of PCT Article 33.

2. Dependent claims 2 to 22 likewise satisfy the requirements of PCT Article 33.

However, the following claims lack clarity (PCT Article 6).

The subject matter of claims 3 and 11 relates only to definitions of the desired results and should therefore be deleted.

Claims 5 and 6 define alternatives for the creation of a document template. Therefore, claim 6 should not be dependent on claim 5.

3. Device claims 23 to 26 and product claim 27, which relates to a computer program, are supported by the method claims and, in consequence, likewise satisfy the requirements of PCT Article 33.